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Approved for use through 10/31/2002 OMB 0651-0 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERC

## UTILITY PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1 53(b))

Attorney Docket No.	ATI-3 2.034/4175
First Inventor	Johnny JENG
Title	TOOTHBRUSH WITH OPPOSITELY RECIPROCATING BRUSH HEADS

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: **Assistant Commissioner for Patents** Box Patent Application Fee P.O. Box 2327 Arlington, VA 22202

- 1. [X] Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and duplicate for fee processing)
- ] Applicant claims small entity status. See 37 CFR 1.27.
- 3. [X] Specification

(Total Pages [9])

- (Preferred arrangement set forth below) -Descriptive title of the invention
- -Cross Reference to Related Applications
- -Statement Regarding Fed Sponsored R & D
- -Reference to sequence listing, a table, or a computer program listing appendix
- -Background of the Invention
- -Brief Description of the Drawings (if filed)
- -Detailed Description
- -Claim(s)
- -Abstract of the Disclosure
- 4. [X] Drawing(s) (35 U.S.C. 113) (Total Sheets [2])
- 5. Oath or Declaration

Signature

(Total Pages [ 1 ])

- a. [X] Newly executed (original or copy)-(unexecuted)
  - ] Copy from a prior application (37 CFR 1.63(d)) (for continuation divisional with Box 18 completed)
    - DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. [ ] Application Data Sheet. See 37 CFR 1 76

- ] CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. [ ] Computer Readable Form (CFR)
  - b. Specification Sequence Listing on
    - i. [ ] CD-ROM or CD-R (2 copies); or
  - ii. [ ] Paper

Express Mail Label No

c. [ ] Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- 9 [ ] Assignment Papers (cover sheet & document(s))
- ] Power of 10. [ ] 37 CFR 3 73(b) Statement (When there is an assignment)
  - Attorney
  - 11. [ ] English Translation Document (if applicable)
- [X] Copies of IDS 12. [X] Information Disclosure Statement (IDS)/PTO-1449
- 13. [ ] Preliminary Amendment
- 14. [X] Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ] Certified Copy of Priority Document(s) (If foreign priority is claimed)
- 16. [ ] Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17 I 1 Other: Check for \$740.00

January 4, 2002

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18. If a CONT amendment, or	INUING r in an Ap	APPLICATIO	N, chec Sheet u	k appronder 37	opriate box, and supply CFR 1.76	the requis	site infori	mation	n below and in a preliminary		
[ ] Continu	Continuation [ ] Divisional [ ] Continuation-in-part (CIP) of prior application No/										
Prior application information: Examiner Group Art Unit:											
is supplied und	ier Box 5t orated by r	o, is considered reference The	d a part	of the c	lisclosure of the accomp	panying co	ontınuatio	on or o	from which an oath or declaratic divisional application and is a inadvertently omitted from the		
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[X] Customer Nun	nber or Bar C	ode Label	(Însert C	ision i	iabal ier	e) or [ ]	Correspo	onden	ce address below		
Name	COBRIN & GITTES										
Address	750 Lexington Avenue, 21 Floor 26784										
City	New York		State New York			Zip Code		ie	10022		
Country	U.S.A.		Telephone		(212) 486-4000		Fax		(212) 486-4007		
Name (Print/T	Peter T. Cobri	A)	•	Registration No. (Att	ornev/Ag	ev/Agent) 2		24.117			





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Patent and Trademark Office U S DEPARTMENT OF COMMERC

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

FEE TRANSMITTAL							Complete if Known									
							Appl	Application Number Not Yet Assigned								
for FY 2002  Patent fees are subject to annual revision.						Filin	g Date		Con	urrently Herewith						
						First	Named	Inventor	John	ny JENG, et al.						
							Exan	niner Na	me	Not	Yet Assigned					
								Grou	p/Art U	nit	Not	Yet Assigned	et Assigned			
TOTAL	AMOU	NT OF P.	AYMEN.	r (\$) 74	40.00	<del></del>	<del></del>	Attor	ney Doo	ket No.	ATI-	-3.2.034/4175	.2.034/4175			
		METI	HOD OF PA	YMENT (chec	k one)		<del> </del>	FEE CALCULATION (continued)								
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to							3. ADDITIONAL FEES									
Deposit Account Number [03-2317]							Large E	ntity	Small Er	tity						
Deposit Account Name [COBRIN & GITTES] [X] Charge any additional fee required under 37 CFR 1 16 and 1 17							Code	(\$)	Code	(\$)	Fee Description		Fee Paid			
[ ] Applicant claims small entity status See 37 CFR 1 27							105	130	205	65	Surcharge - Late filing fi	ee or oath	1 414			
2. [X] Payment Enclosed:							127	50	227	25		nal filing fee or cover sheet	<del>                                     </del>			
[X ] Ch	-		Money Order			[ ] Other		139	130	139	130	Non-English specification				
			FEE	CALCULATI	ON			147	2,520	147	2,520	Request for Reexamination				
1. BASIC	FILING FE	EE						112	920*	112	920*	Req publication of SIR prior to Examiner action				
Large I	Entity	Small I	Entity					113	1,840	113	1,840	Requesting publication of				
Code	(\$)	Code	(\$)	Fee Descript	ıon		Fee Paid	115	110	215	55	Extension within first m	onth			
101	740	201	370	Utility filing	fee		\$ 740	116	400	216	200	Extension within second	month			
106	330	206	165	Design filing fee				117	920	217	460	Extension within third in	onth			
107	510	207	255	Plant filing f	ee .			118	1,440	218	720	Extension within fourth	month			
108	740	208	370	Reissue film	g fee			128	1,960	228	980	Extension within fifth m				
114	160	214	80	Provisional f	iling fe	e		119	320	219	160	Notice of Appeal				
ļui.				SUB	TOTA	L (1)	\$ 740	120	320	220	160	Brief in support of an appeal				
	A CLAIM F	EES		<b>.</b>				121	280	221	140	Request for oral hearing				
				Extra Claim	_	Fee below	Fee Paid	138	1,510	138	1,510	Petition to institute a public use proceeding				
Total Clair	ns	4	-20 =	0	x	0	\$0	140	110	240	55	Petition to revive - unavoidable				
Indep. Cla	ims	3	-3 =	0	x	0	\$0	141	1,280	241	640	Petition to revive - unintentional				
Multiple Dependent							142	1,280	241	640	Utility issue fee (or reissue)					
** or number previously paid, if greater; for reissues, see below							143	460	243	230	Design issue fee					
Large En	tity	Small Ent	ity					144	620	244	310	Plant issue fee				
Code	(\$)	Code	(\$)		Fee I	Description		122	130	122	130	Petitions to the Commissioner				
103	18	203	9	Claims in excess of 20					50	123	50	Petitions related to provisional applications				
102	84	202	42		in excess of 3	126	180	126	180	Submit Info Disclosure Stmt						
104	280	204	140	Multiple dependent claim, if not paid					40	581	40	Patent Assignment per property				
109	84	209	42	**Reissue independent claims over original patent					740	246	370	Filing a submission after final rejection (37 CFR 1 129(a))				
110	18	210	9	**Reissue claims in excess of 20 and over original patent					740	249	370	For each additional invention to be examined (37 CFR 1 129(b))				
									740	279	370	Request for Continued Examination (RCE)				
							<del></del>	169	900	169	900	Request for expedited examination of a design applin				
SUBTOTAL (2) (\$)0						Other fee (specify)										
**or number previously paid, if greater; For Reissues, see above						*Reduced by Basic filing fee Paid SUBTOTAL (3)										
SUBMITTED BY						Complete (if applicable)										
Name (I	Print Type	Peter T. Cobrin Registration Number						24,1	17		Telephone	(212) 486-4000				
Signature						Date January 4, 2002				January 4, 2002						
						VIII C						·				

Applicant:

Johnny Jeng, et al.

Filed:

Concurrently herewith

Appn No:

to be assigned

Title:

TOOTHBRUSH WITH OPPOSITELY RECIPROCATING BRUSH HEADS

Enclosures:

- 1. Utility Patent Application Transmittal Form
- 2. Utility Patent Application (9 pages)
- 3. Two (2) Sheets of Drawings (Figs. 1-2)
- 4. Information Disclosure Statement (Form PTO-1449 w/o cited References)

Docket: ATI-3.2.034/4175

- 5. Declaration for a Utility Patent (unexecuted)
- 6. Certificate of Express Mail Under 37 CFR 1.10
- 7. Fee Transmittal Form (duplicate)
- 8. Check: \$740.00

## **CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10**

The undersigned hereby certifies that the foregoing documents are being deposited with the United States Postal Service as Express Mail, postage prepaid, in an envelope addressed to Assistant Commissioner for Patents, Box Patent Application-Fee, P.O. Box 2327, Arlington, VA 22202 on this date of **January 4, 2002**.

Signature:\_

Typed Name:

Lupe Serrano

EL 781391060 US

Express Mail Label Number

January 4, 2002

Date of Deposit